

# K

## Establishing of the controlling person of operating legal entities and partnerships both not quoted on the stock exchange

(Form K according to § 19 of the PolyReg regulations)

Contracting partner: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The contracting partner hereby declares that (tick the appropriate box):

- ☐ the person(s) listed below is/are **holding 25% or more of the contracting partner's shares (capital shares or voting rights)**; or
- ☐ if the capital shares or voting rights cannot be determined or in case there are no capital shares or voting rights of 25% or more, the contracting partner hereby declares that the person(s) listed below **is/are controlling the contracting partner in other ways**; or
- ☐ in case this/these person(s) cannot be determined or this/these person(s) does/do not exist, the contracting partner hereby declares that the person(s) listed below is/are the **managing director(s)**.

Last name: \_\_\_\_\_

First name: \_\_\_\_\_

Actual address: \_\_\_\_\_

Additionally, for owners of bearer shares:

Date of birth + nationality: \_\_\_\_\_

### Fiduciary holding of assets:

Is a third person the beneficial owner of the assets held in the account/securities account?

- ☐ No
- ☐ Yes → The relevant information regarding the beneficial owner has to be obtained by filing in a separate form A.

The contracting partner hereby undertakes to automatically inform of any changes to the information contained herein.

It is a criminal offense to deliberately provide false information on this form (article 251 of the Swiss Criminal Code (document forgery)).

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Signature(s) of the contracting partner